



S&H Form: (2/01) DOCKET•NO. 1'330.1013C2

TECRNOLOGY CENTER 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Donald A. SMALLEY, et al.

Serial No: 09/837,428

Confirmation No. 8921

Filed: April 19, 2001

For: SYSTEM FOR MANAGING REGULATED ENTITIES

Group Art Unit: 2171

Examiner: To be Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

It is requested that the name of the first inventor, Donald A. Smalley, on the Official Filing Receipt be corrected. The correct first named inventor is Donald A. Smalley, as is evidenced by the Declaration, attached as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: '/[\] _____

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/837,428	04/19/2001	2171	1896	1330.1013- C2	8	37	14

CONFIRMATION NO. 8921

21171 STAAS & HALSEY LLP 700 11TH STREET, NW SUITE 500 WASHINGTON, DC 20001

FILING RECEIPT

OC000000006177441

Date Mailed: 06/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

D

Donale A. Smalley, St. Paul, MN; Todd Fein, Arlington, VA; Jeffrey Gerber, McLean, VA; Gary Singer, Arlington, VA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/12/2001

Projected Publication Date: 10/24/2002

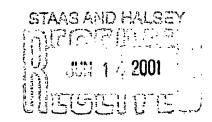
Non-Publication Request: No

Early Publication Request: No

Title

System for managing regulated entities





Preliminary Class

707

Data entry by : ALEMEWORK, SELAMAWIT

Team : OIPE

Date: 06/13/2001

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Bib Data Sheet

CONFIRMATION NO. 8921

SERIAL NUMBE 09/837,428	FILING DATE R 04/19/2001 RULE	CLASS 707	GROL	ROUP ART UNIT 2172		ATTORNEY DOCKET NO. 1330.1013-C2	
Todd Fein, A Jeffrey Gerb Gary Singer, ** CONTINUING D ** FOREIGN APPL IF REQUIRED, FO	malley, St. Paul, MN; Arlington, VA; er, McLean, VA; Arlington, VA; ATA **********************************	***					
** 06/12/2001 Foreign Priority claimed 35 USC 119 (a-d) conditimet Verified and Acknowledged	state or country MN	SHEETS DRAWING 8		TOTAL CLAIMS 37		INDEPENDENT CLAIMS 14	
ADDRESS 21171 TITLE					<u>.</u>		
System for manag	ing regulated entities						
RECEIVED N	S: Authority has been given in Paper to charge/credit DEPOSIT ACCOUNT for following:			☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit			